

**REMARKS**

These remarks follow the order of the paragraphs of the office action. Relevant portions of the office action are shown indented and italicized.

***DETAILED ACTION***  
***Response to Amendment***

*Applicant's submission filed on 11/14/2006 has been entered. Claims 1,4-5, 10,13-15 and 20 have been amended. Claims 1-20 are pending in the application.*

***Response to Arguments***

*Applicants arguments filed November 14, 2006 have been fully considered but are moot in view of the new ground(s) of rejection set forth below. As address below, the claim 'is anticipated by S. Ma, et al, "EventMiner: An integrated mining tool for Scalable Analysis of Event Data", May 21, 2001, [www.research.ibm.com](http://www.research.ibm.com), in view of D. Kranzlmuller, S. Gradbner. J. Volkert, Event graph visualization for debugging large applications", Proc. of the SIGMETRICS symposium on Parallel and distributed tools, Philadelphia, PA, United States. Pages: 108-117 (hereinafter Kranzlmuller).*

*The cited prior art Ma reference teaches in Fig- 7 and the last paragraph of the Page 12 plotting the primary attribute (e.g., with the attribute values indicating the troublesome hosts having significantly high event counts) versus time with the attribute values for events in a communication network and the primary attribute is selected from a plurality of attributes related to the one or more significant measurements such as the co-occurrences (i.e., the total number of times that two hosts generate events within a predefined time window), the conditional probability of the two hosts (i.e., the probability of a host generating an event given the observation hat the other host has generated an event), the chi-squared test and so on. Moreover, the Fig. 4 shows the coloring of the events having the seconary attribute with the patterns indicating the authentication failure and SNMP request in order to differentiate using the coloring the events with authentication failure from other events. A pattern label is assigned to lie events falling into the same pattern. Finally, the operator can view different event attributes by switching memus (Fig. 6). Ma has taught in Fig. 7 and the last paragraph of the Page 12 plotting the primary attribute (e.g., with the attribute values indicating the troublesome hosts having significantly high event counts) versus time with the attribute values for events in a communication network. Ma has also taught a plurality of attributes related to the one or more significant measurements such as the co-occurrences (i.e., the total number of times that two hosts generate events within a predefined time window), the conditional probability of the two hosts (i.e., the probability of a host generating an event given the observation that the other host has generated an event), the chi-squared test and so on wherein the attribute values are plotted in the same plot. It is clear that Ma*

discloses attributes including categorical attributes of the hosts, event types, severity of the events, etc. See Figs. 2, 6, 7 and 9. In Ma many significant event patterns are simultaneously identified within a single plot without the operator's switching between the various event attributes. Ma discloses display label to the events such as "Link down of host A", "node down of host B", "authentication failure of host A". etc., including the colors for coloring the different patterns that indicate the attribute values of the primary attribute such as the co-occurrences of some specific events within a predefined time window. Ma discloses a secondary display label including the colors for coloring the different patterns for the events in the communication network that indicate the attribute values of the primary attribute such as the co-occurrences of some specific events within a predefined time window. Ma teaches in Fig 5(b) displays two different attributes for the events: Figs. 2 and 4 show y-axis is the host name attribute as well as the coloring of attribute such as "authentication failure" events in red and "SNMP request events in green: therefore, at least two event attributes such as host name, authentication failure, SNMP request have been simultaneously monitored in the plot of Figs. 2 and 4. The menu options shown in Fig. 6 allow for the y-axis attribute mappings be changed. Moreover, Ma teaches mapping a plurality of attributes to item and viewing both numerical attribute and categorical attribute on a same plot in Fig. 7 (See Page 10). Thus, Ma at least teaches or suggests the claim limitation of viewing a secondary attribute of said each event together with the primary attribute on said display. Ma is silent to "automatically generating a large variety of visualizations along other attribute axes, and identifying correlations by superimposing and cross-referencing these visualizations". However, Kranzlmuller teaches the claim limitation of "automatically generating a large variety of visualizations along other attribute axes, and identifying correlations by superimposing and cross-referencing these visualizations." **Kranzlmuller teaches automatically generating a large variety of visualizations (P0- P7) along the other attribute axes (See Kranzlmuller Page 109 and Figs. 1-2 showing the arrangement of the axes applied to the visualization of the event graph wherein a plurality of visualizations for dimensions P0-P7 are superimposed in the event graph) and identifying correlations (such as the inter-process dependencies between processes among the event visualizations wherein dependencies among the processes mean correlations among the processes in the event visualizations) by superimposing (the processes/dimensions P0-P7 are superimposed vertically wherein the events belonging to the dimensions P0-P7 are plotted with the attribute values of the events or dimension values being allocated to each of the processes/dimensions P0-F7 and the attribute values for example are the colors which are changed to indicate the state of the process in the value range of (active, idle, blocked); see Page 109 and therefore the y-axis presents the attribute values allocated to each of the processes/dimensions P0-F7) and cross-referencing (e.g. the inter-process dependencies between processes, e.g., directed edges between vertices are either communication or sequential program flow and the events/ti and/ti occur in process P0, Event B1-B3 occurs in process P1. In process 1 the event B1 has the attribute of being the send event and A1 has the attribute of being the receive event. The send event B1 and the receive event A1 is connected through a directed arc in the graph. The process axis is arranged vertically) these visualizations. Kranzlmuller teaches viewing a plurality of attributes P0-F7 for**

1 *the visualizations of the events in a communication network. Kranzlmuller teaches*  
2 *viewing a secondary categorical attribute (e.g., an event belonging to the category P0)*  
3 *of said each event together with the primary categorical attribute (e.g., an event*  
4 *belonging to the category P1) on said display (See Page 109, Fig. 2). It would have*  
5 *been obvious to one of the ordinary skill in the art at the time the invention was made to*  
6 *have incorporated Kranzlmuller's teaching into Ma to view a plurality of attributes*  
7 *related to the events on the same display because Ma at least suggests the claim*  
8 *limitation of viewing a secondary attribute of said each event together with the primary*  
9 *attribute on said display at least by the means of mapping of the secondary attribute and*  
10 *coloring the secondary attribute and therefore the secondary attribute and the primary*  
11 *attribute are distinctly viewed (See Figs. 2 and 4 of Ma wherein a plurality of secondary*  
12 *attributes are colored so as to be viewed. Although the menu options are used in Fig. 6 of*  
13 *Ma to switch the primary attribute to the another attribute the secondary attribute can be*  
14 *viewed by the coloring mechanism as disclosed and can be further queried and displayed*  
15 *in different plots on the same display). One of the ordinary skill in the art would have*  
16 *been motivated to do so such that the inter-process dependency among events and event*  
17 *categorical attributes are visualized (Kranzlmuller Page 109).*

18 In response, the applicants continue to respectfully states that applicants continue to take  
19 exception to the allegation that the presently claimed invention is anticipated by Ma in view of  
20 Kranzlmuller. However, further amendments are made to the claims herewith, in order to bring  
21 this application to allowance quickly.

#### 22 ***Claim Rejections -35 USC §101***

23 *35 U.S.C. 101 reads as follows:*

24 *Whoever invents or discovers any new and useful process, machine, manufacture, or*  
25 *composition of matter, or any new and useful improvement thereof, may obtain a patent*  
26 *therefor, subject to the conditions and requirements of this title.*

27 ***Claim 10:*** *Claim 10 recites “computer readable program on tangible computer media”.*  
28 *The claimed tangible computer media is not necessarily a computer readable medium.*  
29 *The claimed computer readable program is not necessarily computer executable*  
30 *instructions, There is no structural and functional interrelationship between the*  
31 *instructions and the rest of the computer to permit the instructions’ functionality to be*  
32 *realized. Claim 10 is, thus, non-statutory.*

33 In response, the applicants continue to respectfully states that claim 10 is amended to show that it  
34 is a computer readable program on tangible computer readable medium and being computer

executable instructions. This overcomes the rejection of claim 10 under 35 USC §101, and claim 10 is allowable.

**Claim 11:** Claim 11 recites “a computer program on a computer readable medium containing a program code to carry out all steps of the method of claim 1”. The claimed computer program is not necessarily computer executable instructions, There is no structural and functional interrelationship between the instructions and the rest of the computer to permit the instructions’ functionality to be realized by the computer. Claim 11 is, thus, non-statutory.

In response, the applicants continue to respectfully states that claim 11 is amended to show that the program code being computer executable instructions. This overcomes the rejection of claim 11 under 35 USC §101, and claim 11 is allowable.

#### **Claim Rejections -35 USC §112**

*The following is a quotation of the first paragraph of 35 U.S.C. 112: The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.*

*Claims 1-20 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. For example, the claim 1 recites “automatically generating a large variety of visualizations along other attribute axes, and identifying correlations by superimposing and cross-referencing these visualizations.” By the claim limitations, the visualizations are generated along **other attribute axes**. However, lines 7-11 of the claim 1 refer the x-axis and y-axis as attribute axes. it is understood from the claim limitations set forth in the claim 1 that other attribute axes as claimed are the axes other than the x-axis and y-axis, See also Fig. 1 of applicant’s specification. However, the axes as claimed other than the x-axis and y-axis set forth in lines 7-11 of the claim are not disclosed in applicant’s specification. The applicant’s specification (e.g., Fig. 1) shows that the visualizations are superimposed and cross-referenced along the y-axis with respect to the x-axis, There are no other axes involved in these visualizations. Therefore, the metes and bounds of the coverage of ‘at least base claim 1 cannot be ascertained. To comply with the “written description” requirement of 35 U.S.C. 112, first paragraph, an applicant must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention. The invention is, for*

1 *purposes of the "written description" inquiry, whatever is now claimed. Vas-Cath, Inc. V.*  
2 *Mahurkar, 935 F.2d 1555, 1563-64, 19 USPQ2d 1111, 1117 (Fed. Cir. 1991). For*  
3 *purposes of written description, one shows "possession" by descriptive means such as*  
4 *words, structures, figures, diagrams, and formulas that fully set forth the claimed*  
5 *invention. Lockwood v. American Airlines, Inc., 107 F.3d 1565, 1572, 41 USPQ2d 1961,*  
6 *1966 (Fed. Cir. 1997). Such descriptive means cannot be found in the disclosure for the*  
7 *inventions of the claim 1.*

8 *The claims 1-13, and 15-19 depend upon the claim 1 and are rejected due to their*  
9 *dependency on the claim 1.*

10 In response, the applicants continue to respectfully states that claim 1 is amended to make it more  
11 clear and to better protect the invention. The last step, namely:

12 automatically generating a large variety of visualizations along other attribute axes, and  
13 identifying correlations by superimposing and cross-referencing these visualizations  
14 is deleted. This overcomes the rejection of claims 1-13 and 15-19 under 35 USC §112, and  
15 claims 1-13 and 15-19 are allowable.

16 The office communication further states:

17 *The claims 14 and 20 are subject to the same rationale of rejection set forth in the claim*  
18 *1.*

19 In response, the applicants continue to respectfully states that claims 14 and 20 are amended to  
20 make each more clear and to better protect the invention. The last step, namely:

21 automatically generating a large variety of visualizations along other attribute axes, and  
22 identifying correlations by superimposing and cross-referencing these visualizations  
23 is deleted. This overcomes the rejection of claims 14 and 20 under 35 USC §112, and claims 14  
24 and 20 are allowable.

25 ***Claim Rejections -35 USC § 112***

26 *The following is a quotation of the second paragraph of 35 U.S.C. 112: The*  
27 *specification shall conclude with one or more claims particularly pointing out and*  
28 *distinctly claiming the subject matter which the applicant regards as his invention.*

*Claim 14 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claim 14 recites the limitation "the machine" in line 2 of the claim. There is insufficient antecedent basis for this limitation in the claim.*

In response, the applicants continue to respectfully states that claim 14 is amended so that the words 'the machine' are replaced by the words 'a computer.' This overcomes the rejection of claim 14 under 35 USC §112, and claim 14 is allowable.

### *Claim Rejections -35 USC § 103*

*The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:*

*(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.*

*Claims 1-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over S. Ma, et al., "EventMiner": An integrated mining tool for Scalable Analysis of Event Data", May 21, 2001 [www.research.ibm.com](http://www.research.ibm.com) in view of D. Kranzlmuller, S. Gradbner, J. Volkert, "Event graph visualization for debugging large applications", **Proc. of the SIGMETRICS symposium on Parallel and distributed tools**, Philadelphia, PA, United States, Pages: 108-117 (hereinafter Kranzlmuller).*

In response, the applicants respectfully state that Claims 1-20 are apparently not made obvious by the combined art references to S. Ma, et al., and Kranzlmuller. Applicants respectfully state that continued exception is taken with the so called equivalencies of elements in Claims 1-20 and the cited art, as stated previously. This is particularly in regard to use of words in claims 1-20 of ‘attributes’, ‘primary’, ‘events’, ‘display label’ etc. Further exception is taken with the so called equivalencies of elements in Claims 1-20 and the combined art. The present invention, claimed in Claims 1-20, is for:

"Monitoring events triggered by a computer network. Each event being provided with attribute values allocated to a given set of attributes, and providing an event display, determining a primary attribute and a corresponding display label of the events selected

1 from the given set of attributes presented with attribute values on a cross plot, providing a  
2 pattern algorithm to detect whether an arrived event is part of a given pattern, providing a  
3 mapping algorithm to map attribute values on the cross plot, allocating a second display  
4 label to the events indicating the attributes uncovered as part of the given pattern, plotting  
5 events arriving and including an attribute value allocated to a primary attribute into the  
6 cross plot, and plotting events arriving within the time period and detected by the pattern  
7 algorithm as part of the given pattern into the cross plot with the second display label  
8 indicating the given pattern."

9 The cited document of S. Ma, et al, Dated: May 21, 2001, is entitled: "EventMiner: An  
10 integrated mining tool for Scalable Analysis of Event Data". The Ma abstract reads :

11 "Exploring large data sets typically involves activities that interwoven the following:  
12 querying databases, mining the results returned, and visualizing both the raw data and the  
13 parterres discovered. This interweaving of functions arises both from the semantics of  
14 what the analyst hopes to achieve and from salability requirements for dealing with large  
15 data volumes. Herein is described a tool, EventMiner, that integrates querying mining ,  
16 and visualization so as to better analyze temporal data. We discuss the novel visualization  
17 techniques employed such as visualizing the results of data mining. Also, we address the  
18 large scale visualization of categorical data and how intelligent ordering of data can aid in  
19 this task. Though out, we illustrate the capabilities of EventMiner by applying it to event  
20 data from large computer networks.

21 Thus Ma is concerned with mapping events that have been queries from a database along the  
22 temporal axis, i.e. In the order in which they were presumably received, or recorded. Ma  
23 recognizes that time is only one possible visualization axis however does not offer any  
24 alternatives, nor gives indication of the potential use or usefulness of any other axis. Ma is  
25 primarily concerned with abstracting data from large volume to abstract visual representations.

26 Ma is not concerned with visualizing data that are being received from sensors directly, i.e.  
27 without intermediate storage in a database, and, even more importantly, is not concerned with

1 visualizing the data along primary or secondary attribute axis, as in claims 1-20. In this present  
2 patent we believe the value of the visualization does not come from the abstraction that Ma offers,  
3 but by automatically generating a large variety of visualizations along many different attribute  
4 axis, and identifying correlations etc., by superimposing and cross-referencing these  
5 visualizations as in claims 1-20.

6 The other cited document of D. Kranzlmuller, S. Gradbner, J. Volkert, is entitled: "Eventgraph  
7 visualization for debugging large applications". The Kranzlmuller abstract reads :

8 "Software repair and performance tuning of parallel programs are two difficult tasks in  
9 the parallel software lifecycle. The difficulties are further increased, if the target system is  
10 a parallel machine executing a program with many processes on a large amount of data.  
11 The existing debugging tools attack this problem with different approaches concerning  
12 monitoring and visualization techniques. The event graph visualization or space-time  
13 diagram is only one possibility to perform the analysis, but it is included by many existing  
14 tools.

15 An example for usage of the event graph is ATEMPT, A Tool for Event  
16 Manipulation. The functionality for error debugging (errors in the communication  
17 structure, race conditions) and for performance analysis (bottlenecks through blocking  
18 communication) is based on this global communication graph. Extensions to the regular  
19 visualization are the abstraction mechanisms provided by ATEMPT. Through horizontal  
20 and vertical abstraction the event graph can be used to debug even large applications. The  
21 key relies on reducing the visualized information of data that are important for error  
22 detection and performance tuning."

23 Thus Kranzlmuller is concerned with the abstraction of large data volumes into smaller sets that  
24 can be visualized effectively. Kranzlmuller is not concerned with generating a variety of views  
25 onto the data set, along different attribute axis, without abstraction or reduction, as in claims  
26 1-20. There is apparently no reason to combine Ma with Kranzlmuller except in an attempt to  
27 find elements of claims 1-20 using hindsight. This is not allowed. Besides even the combination  
28 does not make claims 1-20 obvious.



1 Most particularly, besides the differences stated in previous responses, the combined art is not  
2 concerned with superimposing and cross-referencing different visualizations of the same data, as  
3 in claims 1-20. Combining Kranzlmuller with Ma does not overcome the argument made in  
4 previous responses and in this response. Thus claims 1-20 are allowable over the cited combined  
5 art.

6 *Claim 1: Ma teaches a method of monitoring events in a computer network, the method*  
7 *comprising: Said computer network triggering said events, each event being provided*  
8 *with attribute values allocated to a given set of attributes of said each event (The term*  
9 *attributes “at clear as it may be related to the data object attributes for each event or the*  
10 *pattern attributes for each pattern for a plurality of data objects;* *However, the pattern*  
11 *attributes for a plurality of data objects are also related to the data object attributes as a*  
12 *pattern is computed from the plurality of data objects. The cited reference teach mapping*  
13 *a plurality of data attributes to item to identify correlations across different hosts and*  
14 *event types by using the mapping that maps the pair of event type and host name to item*  
15 *and leaves key empty. See Page 11. Moreover, the cited reference in Page 1, second*  
16 *paragraph, explicitly teaches the attribute values, see the last paragraph of Page 6 and*  
17 *the first and second paragraphs of Page 8, the last paragraph of Page 12, and the real*  
18 *data set collected from a production computer network containing thousands of managed*  
19 *nodes including routers, hubs and servers are described in the last paragraph of page 3*  
20 *and identifying unknown event patterns that can be used for real-time monitoring is*  
21 *described in the second paragraph of page 3. Ma has also taught **a plurality of pattern***  
22 ***attributes related to the one or more significant measurements such as the***  
23 ***co-occurrences**, i.e., the total number of times that two hosts generate events within a*  
24 *predefined time window, the conditional probability of the two hosts, i.e., the probability*  
25 *of a host generating an event given the observation that the other host has generated an*  
26 *event, the chi -squared test and so on); Simultaneously monitoring various event*  
27 *attributes versus the arrival time of said events (e.g., Fig. 5(b) displays two different*  
28 *attributes for the events; Figs. 2 and 4 show y-axis is the host name attribute as well as*  
29 *the coloring of attribute such as “authentication failure events in red and “SNMP*  
30 *request events in green: therefore, at least two event attributes such as host name,*  
31 *authentication failure. SNMP request have been simultaneously monitored in the plot of*  
32 *Figs. 2 and 4);* *Providing an event display with a cross plot having x and y coordinate*  
33 *axes, the x-axis presenting a time period and the y-axis present an attribute value range*  
34 *(e.g., The cited reference teach mapping a plurality of data attributes to item to identify*  
35 *correlations across different hosts and event types by using the mapping that maps the*  
36 *pair of event type and host name to item and leaves key empty. See Page 11, Figs. 2,4,6*  
37 *7, 9 and the third paragraph of Page 8 describes a scatter plot or cross plot having an*  
38 *y-axis representing around 160 hosts of a communication network and the x axis has*  
39 *been described in the figures as well as the first paragraph of page 6; for attribute value*  
40 *range, see these figures as well as the description in the second paragraph of Page 8);*

Determining a primary attribute of the events selected from the given set of attributes to be presented with its attribute values on the y-axis of the cross plot (e.g., The cited reference teach mapping a plurality of data attributes to item to identify correlations across different hosts and event types by using the mapping that maps the pair of event type and host name to item and leaves key empty. The attributes including the categorical attributes or temporal attributes and the primary attribute values are displayed in Figs. 2, 4, 6 and 7 and multiple attributes are described in the last paragraphs of Page 11 and 12). Allocating a first display label (e.g., one of the colors indicating the patterns such as the Pattern 1, Pattern 2, Pattern 3 and Pattern 4 as marked in the scatter plot or the cross plot of Figs. 2, 6 and 9 such as "Link down of host A " and "node down of host B") to the events (e.g., alarms in Page 10) indicating (mapping of the attributes wherein the mapping results are shown in the plots with the patterns identifying/indicating the attribute values of the primary attribute related to the categorical attribute such as the host A or the host B. Moreover, the pattern attribute values identifying the pattern 1 and the pattern 2 also describe the primary attribute such as the host A and the host B for the patterns such as "Link down of host A" and "node down of host B") the attribute values of the primary attribute (e.g., co-occurrence of certain events or the categorical attribute and event type associated with the events wherein the primary attribute is related to the primary attribute of the data set or the primary attribute of the patterns; See Page 12 and the key attribute values are described in the second paragraph of page 3), providing a pattern algorithm (the pattern algorithm is described in Fig. 7 as well as the mining algorithm as described in the last paragraph of page 12 or the EventMiner for ordering categorical values wherein the event generating, say every 300 seconds, may be identified) to detect whether an arrived event (arrived event are the selected event objects or the selected data objects in a specific time range related to the events progressively loaded from a database or the mining alarm logs in a 'cal tune system; see first paragraph of page 13 and the last paragraph of page 10 and a new query that retrieves the relevant data objects for more analysis in which a new query is restricted to a range constraint for a numerical attribute; see the last paragraph of page 10) is part of the given pattern (is part of the given pattern such as the Pattern 1 or the Pattern 2 from the identifiable patterns such as the **SNMP request, authentication failure, link up, link down, port up, port down** wherein authentication failure indicates a possible security intrusion and **link down of host A indicates the attribute associated with the data objects as well as the attribute associated with the event**) on the basis of a comparison of the attributes allocated to the given pattern and of the attributes assigned to the arrived event (e.g., the CO- occurrence measurements for events can be computed for the data sets or the data objects and the temporal correlation with the selected hosts from the other side of the AttributeViewer can be identified using the color linkage by the coloring and filtering algorithm or the data mining algorithm in which the difference or similarity in terms of patterns indicated by colors is compared; see page 12-13), providing a mapping algorithm to map any attribute value of an attribute selected from the given set of attributes onto the y-axis of the cross plot (see the last paragraphs of Page 11-12; The cited reference teach mapping a plurality of data attributes to item to identify correlations across different hosts and event types by using the mapping that maps the pair of event type and host name to item and leaves key empty.). Allocating a second

display label (e.g., one of the colors indicating the patterns such as the Pattern 1, Pattern 2, Pattern 3 and Pattern 4 as marked in the scatter plot or the cross plot of Figs. 2, 6, 7; SNMP request, authentication failure, link up, link down, port up, port down wherein authentication failure indicates a possible security intrusion may be used as display labels as well. The attribute values may be used as display labels as well) to the events indicating the attribute values of the attributes being uncovered (discovered) as part of the given pattern (e.g., the co-occurrence measurements for events can be computed and the temporal correlation with the selected hosts from the other side of the AttributeViewer can be identified using the color linkage by the coloring and filtering algorithm or the data mining algorithm in which the difference or similarity in terms of patterns indicated by colors is compared; see page 12-13; the display labels indicate the attribute values of the attributes being discovered as part of the given pattern, for example, the second host was near a critical level for a key metric indicates the attribute values of the attributes being discovered as part of the given pattern), plotting all the events arrived within the time period and including an attribute value allocated to the primary attribute into the cross plot with the first display label indicating the primary attribute, the position of the first display label of each event in the cross plot being determined on the basis of the attribute value of the primary attribute of the event and its arrival time (e.g., The cited reference teach mapping a plurality of data attributes to item to identify correlations across different hosts and event types by using the mapping that maps the pair of event type and host name to item and leaves key empty. Figs. 2, 4, 6, and 7 and the related paragraphs mentioned above in "allocating a first display label". e.g. one of the colors indicating the patterns such as the Pattern 1, Pattern 2, Pattern 3 and Pattern 4 as marked in the scatter plot or the cross plot of Figs 2, 6, 7; SNMP request, authentication failure, link up, link down, port up, port down wherein authentication failure indicates a possible security intrusion may be used as display labels as well. The attribute values maybe used as display labels as well), and Plotting the all events arrived within the time period (Figs. 2, 4, 6, and 7 plot the all events within a specific time range) and being detected by means of the pattern algorithm (by the event miner algorithm) as part of the given pattern into the cross plot with the second display label (e.g., one of the colors indicating the patterns such as the Pattern 1, Pattern 2, Pattern 3 and Patter,: 4 as marked in the scatter plot or the cross plot of Figs. 2,6, 7 and 9 or Pattern 2 or the Green Spike in Fig. .10), the position of the second display label of each event in the cross plot being determined by the mapping algorithm on the basis of the attribute value of the attribute of the event (see Figs. 1-10) on the basis of the attribute value of the attribute of the event being uncovered (uncovered for example in the alarm log and uncovered by the mining algorithm) as part of the given pattern and its arrival time (discovered as part of the given pattern such as Patterns 1-4 and its arrival time; all the selected events are in a specific time range as plotted in Figs. 2, 4, 6, 7 and 10). In other words, Ma discloses an apparatus and system for monitoring events in a computer network enabling an operator of an intrusion-detection system to simultaneously monitor various event attributes versus the arrival time of the events, for example, authentication failure indicates a possible security intrusion may be used as display labels. The cited prior art teaches in Fig. 7 end the last paragraph of the Page 12 plotting the primary attribute (e.g., with the attribute values indicating the troublesome hosts having significantly high event counts) versus

time with the attribute values for events in a communication network and the primary attribute for a host is selected from a plurality of attributes related to the categorical values, the one or more significant measurements such as the co-occurrences (i.e., the total number of times that two hosts generate events within a predefined time window), the conditional probability of the two hosts (i.e., the probability of a host generating an event given the observation that the other host has generated an event), the chi-squared test and so on. Fig. 4 shows the coloring of the events having the primary attribute with the patterns indicating the authentication failure and SNMP request in order to differentiate using the coloring the events with authentication failure from other events, A pattern label is assigned to the events falling into the same pattern. Finally, the operator can view different event attributes by switching menus (Fig. 6). Ma has taught in Fig. 7 and the last paragraph of the Page 12 plotting the primary attribute (e.g., with the attribute values indicating the troublesome hosts having significantly high event counts) versus time with the attribute values for events in a communication network. Ma has also taught a plurality of attributes related to the one or more significant measurements such as the co-occurrences (i.e., the total number of times that two hosts generate events within a predefined time window), the conditional probability of the two hosts (i.e., the probability of a host generating an event given the observation that the other host has generated an event) the chi-squared test and so on wherein the attribute values are plotted in the same plot. See Figs. 2, 6, 7 and 9. Many significant event patterns are simultaneously identified within a single plot without the operator's switching between the various event attributes. Ma discloses display label including the colors for coloring the different patterns that indicate the attribute values of the primary attribute such as the co-occurrences of some specific events within a predefined time window. Ma teaches in Fig. 5(b) displays two different attributes for the events; Figs. 2 and 4 show y-axis is the host name attribute as well as the coloring of attribute such as "authentication failure" events in red and "SNMP request events in green, therefore, at least two event attributes such as host name authentication failure. SNMP request have been simultaneously monitored in the plot of Figs. 2 and 4. The menu options shown in Fig. 6 allow for the y-axis attribute mappings be changed. Moreover, Ma teaches mapping a plurality of attributes to item and viewing both numerical attribute and categorical attribute on a same plot in Fig. 7 (See Page 10). Thus, Ma at least teaches or suggests the claim limitation of viewing a secondary attribute of said each event together with the primary attribute on said display, Ma is silent to automatically generating a large variety of visualizations along other attribute axes, and identifying correlations by superimposing and cross-referencing these "visualizations." However, Kranzlmuller teaches the claim limitation of "automatically generating a large variety of visualizations along other attribute axes, anti identifying correlations by superimposing and cross-referencing these visualizations." Kranzlmuller teaches automatically generating a large variety of visualizations (F0-P7) along the other attribute axes (See Kranzlmuller Page 109 and Figs. 1-2 showing the arrangement of the axes applied to the visualization of the event graph wherein a plurality of visualizations for dimensions P0-P7 are superimposed in the event graph) and identifying correlations (such as the inter-process dependencies between processes among the event visualizations wherein dependencies among the processes mean correlations among the processes in the event visualizations)

by superimposing (the processes/ dimensions P0-P7 are superimposed vertically wherein the events belonging to the dimensions P0-P7 are plotted with the attribute values of the events or dimension values being allocated to each of the processes/dimensions P0-P7 and the attribute values for example are the colors which are changed to indicate the state of the process in the value range of (**active, idle, blocked**}; see Page 109 and therefore the y-axis presents the attribute values allocated to each of the processes/dimensions P0-P7) and cross-referencing (e.g., the inter-process dependencies between processes, e.g., directed edges between vertices are either communication or sequential program flow and the events A1 and A1 occur in process P0, Event B1-B3 occurs in process P1. In process 1 the event B1 has the attribute of being the send event and A1 has the attribute of being the receive event. The send event B1 and the receive event A is connected through a directed arc in the graph. The process axis is arranged vertically) these visualizations. Kranzlmuller teaches viewing a plurality of attributes P0-P7 for the visualizations of the events in a communication network. Kranzlmuller teaches viewing a secondary categorical attribute (e.g., an event belonging to the category P0) of said each event together with the primary categorical attribute (e.g., an event belonging to the category P1) on said display (See Page 109, Fig. 2). It would have been obvious to one of the ordinary skill in the art at the time the invention was made to have incorporated Kranzlmuller's teaching into Ma to view a plurality of attributes related to the events on the same display because Ma at least suggests the claim limitation of viewing a secondary attribute of said each event together with the primary attribute on said display at least by the means of mapping of the secondary attribute and coloring the secondary attribute and therefore the secondary attribute and the primary attribute are distinctly viewed (See Figs. 2 and 4 of Ma wherein a plurality of secondary attributes are colored so as to be viewed. Although the menu options are used in Fig. 6 of Ma to switch the primary attribute to the another attribute, the secondary attribute can be viewed by the coloring mechanism as disclosed and can be further queried and displayed in different plots on the same display). One of the ordinary skill in the art would have been motivated to do so such that the inter-process dependency among events and event categorical attributes are visualized (Kranzlmuller Page 109).

In response, the applicants respectfully state that the combined art of Ma and Kranzlmuller apparently do not make claim 1 obvious. Claim 1 as further amended now reads:

1. A method comprising monitoring network activities as a time-ordered sequence of events in a computer network, each event having attributes triggered by an intrusion-detection system, each event being characterized by a given set of attributes called dimensions, each event forming an n-dimensional space, the step of monitoring comprising:

1 said computer network triggering said events, each event being provided with attribute  
2 values allocated to a given set of attributes of said each event, each attribute having a  
3 particular attribute value,

4 simultaneously monitoring each particular attribute value of various event attributes from  
5 said given set of attributes versus the arrival time of said each event,

6 providing an event display with a cross plot having x and y coordinate axes, the x-axis  
7 presenting a time period and the y-axis presenting an attribute value range, and visualizing  
8 data along said x and y coordinate axes, said axes being attribute axes,

9 determining a primary attribute of said each event, said primary attribute being selected  
10 from the given set of attributes, each said primary attribute of said each event to be  
11 presented with a corresponding attribute value on the y-axis of the cross plot,

12  
13 allocating a first display label to the events indicating the attribute value of the primary  
14 attribute of each event, providing a pattern algorithm to detect whether an arrived event is  
15 part of the given pattern on the basis of a comparison of the attributes allocated to the  
16 given pattern and of the attributes assigned to the arrived event, providing a mapping  
17 algorithm to map any attribute value of an attribute selected from the given set of  
18 attributes onto the y-axis of the cross plot,

19 allocating a second display label to said each event indicating the attribute values of the  
20 attributes being uncovered as part of the given pattern,

21 plotting all events that arrived within the time period and including an attribute value  
22 allocated to the primary attribute into the cross plot with the first display label indicating  
23 the primary attribute, the position of the first display label of said each event in the cross  
24 plot being determined on the basis of the attribute value of the primary attribute of the  
25 event and its arrival time,

1 plotting all events that arrived within the time period and being detected by means of the  
2 pattern algorithm as part of the given pattern into the cross plot with the second display  
3 label indicating the given pattern, the position of the second display label of said each  
4 event in the cross plot being determined by the mapping algorithm on the basis of the  
5 attribute value of the attribute of the event being uncovered as part of the given pattern  
6 and its arrival time,

7 viewing a secondary attribute of said each event together with the primary attribute on  
8 said display.

9 The applicant continue to respectfully take particular exception with the alleged equivalency of  
10 elements in claim 1 and the cited art, and take exception with the Examiner assertions.

11 In addition, to the previous exceptions, the additional amendment to claim 1 further makes it  
12 allowable over the prior art. The combined art. does not anticipate or make obvious:

13 monitoring network activities as a time-ordered sequence of events in a computer  
14 network, each event having attributes triggered by an intrusion-detection system, each  
15 event being characterized by a given set of attributes called dimensions, each event  
16 forming an n-dimensional space,

17 which is now in claim 1. Neither is concerned with intrusion-detection or an intrusion-detection  
18 system. Neither is apparently concerned with event being characterized by a given set of  
19 attributes called dimensions, each event forming an n-dimensional space.

20 As previously stated, claim 1 shows that the attribute are event attributes, and to show explicitly  
21 that it includes “simultaneously monitoring various event attributes versus the arrival time of each  
22 the events,” and to specifically add a step of “viewing a secondary attribute of said each event  
23 together with the primary attribute on said display.” This apparently more clearly distinguishes  
24 claim 1 from the cited reference. Thus claim 1 and all claims that depend thereupon are allowable  
25 over Ma.

1 Claim 1- 20 state that the value of the visualization is derived from generating multiple  
2 visualizations along different attributes and using those to identify interesting event patterns by  
3 superposition and cross-referencing.

4 A review of Ma and Kranzlmuller show that even the combination does not do or allude to the  
5 steps of claim 1. The combination does not do the steps of automatic generation of multiple  
6 visualizations and providing means for cross-referencing. Thus the combined art does not make  
7 claim 1 obvious, and claim 1 and all claims depending on claim 1 are allowable.

8 *Re Claims 2-3: Ma further discloses selecting the new events within the specified time*  
9 *period and plotting the new events within the shifted time period into the cross plot. See*  
10 *Figs. 6, 7, 9 and 10 in which events in the two time periods are drawn and the spikes are*  
11 *identified and the newly selected events are redrawn as determined by the data mining*  
12 *algorithm for the time period during which the new events are retrieved. The database*  
13 *records the attribute values and the arrival time of a new event, The pattern algorithm*  
14 *determines on the basis of the recorded attribute values of event whether or not the newly*  
15 *arrived event in the database and the newly retrieved event from the database includes an*  
16 *attribute value of the primary attribute, for a certain host and event type, as determined*  
17 *the pattern algorithm using the mapping mechanism for mapping a plurality of attributes*  
18 *including the primary attribute into an item for presentation, and the pattern algorithm*  
19 *also determines if the newly arrived event, e.g., alarm, includes the attribute value for the*  
20 *primary attribute, e.g., a certain host or a certain event type including SNMP request,*  
21 *authentication failure, link up, link down, port up, port down, link down of host A, node*  
22 *down of host B etc., shifting the x-axis of the cross plot for the new time period so that the*  
23 *new time period being presented on the x-axis covers the arrival time of the event and*  
24 *plotting the event arrived within the shifted time period into the cross plot with the first*  
25 *display label indicating the primary attribute. Ma discloses determining on the basis of*  
26 *the recorded attribute values of event from the alarm log or the database whether or not*  
27 *the newly arrived event for the new time period is part of the given pattern using the*  
28 *pattern algorithm on the basis of a comparison of the attributes allocated to the given*  
29 *pattern, for example a composite pattern of Page 13, on the basis of a comparison*  
30 *analysis, and of the attribute assigned to the arrived event wherein the newly arrived*  
31 *event are determined by the retrieval time ranges and data ranges including the host*  
32 *names and types from the database, Ma further discloses determining if the newly arrived*  
33 *event includes an attribute value of the given pattern including the mutual dependence*  
34 *measurement of an m- pattern adding the event to the previous events being detected as*  
35 *part of the given pattern, and redrawing all the events being associated with given*  
36 *pattern in the cross plot by updating the cross plot.*



1 In response, the applicant respectfully take particular exception with the alleged equivalency of  
2 elements in claims 2 and 3 and the cited art, and take exception with the Examiner assertions. This  
3 is particularly so because of the amendment of claim 1. This is also in regard to use of words in  
4 the claims attributes, primary, events, display label etc. The present invention in 2 and 3 is not  
5 anticipated or made obvious by S. Ma, et al. As noted Ma's method is apparently that only one of  
6 the event attributes may be plotted versus the arrival time of the events. Thus, the operators have  
7 to switch continuously between the various event attributes to make sure that they do not miss a  
8 significant event attribute or attributes or their simultaneous display. Ma is not concerned with the  
9 'primary attribute' nor for a plurality of event attributes, as in claims 2 and 3. The addition of  
10 Kranzlmuller apparently does nothing to make these obvious.

11 Also, the office communication states the visualizations are generated for any type of attribute, or  
12 combination of several, recorded with the event data. A review of Ma and Kranzlmuller show that  
13 the art still is concerned with data along a temporal axis. Thus, claims 2 and 3 are allowable over  
14 Ma and Kranzlmuller in themselves and because each depends on allowable claim 1.

15 *Re Claims 4-5: Ma further discloses the third display label and the fourth display label*  
16 *indicating the new patterns (See the three colored spikes in Fig. 6 and the four patterns*  
17 *in Fig. 7). Ma discloses determining if the newly arrived event does not include an*  
18 *attribute value of the given pattern, on the basis of the recorded attribute values of all*  
19 *previous arrived events from the alarm logs or from the database, by means of the*  
20 *mining algorithm whether or not the newly arrived event is part of a new pattern on the*  
21 *basis of a comparison (Page 3) of the attributes allocated to the new pattern and of the*  
22 *attributes assigned to the arrived events. Ma discloses allocating a third display label to*  
23 *the events, including the coloring of the new pattern, indicating the attribute values of the*  
24 *attributes being discovered as part of the new pattern wherein a large amount of patterns*  
25 *can be discovered by the mining algorithms. Ma discloses plotting the all events being*  
26 *detected by means of the mining algorithm as part of the new pattern into the cross plot*  
27 *with the third display label indicating the new pattern, the position of the third display*  
28 *label of each event in the cross plot being determined by the mapping algorithm (Page 12*  
29 *for the mapping of the attributes into item and thereby determining the positions of the*  
30 *patterns on the cross plot) on the basis of the attribute value of the attribute of the event*  
31 *(event types, host names etc) being uncovered as part of the new pattern, such as SNMP*  
32 *request) authentication failure, link up, link down, port up port down, link down of host*  
33 *A, node down of host B etc, and its arrival time in the database, Ma discloses removing*  
34 *all the events including an attribute value allocated to the primary attribute from the*  
35 *cross plot, if a primary attribute to be presented with its attribute values on the y-axis of*  
36 *the cross plot is changed (if the mapping mechanism for mapping a plurality of attributes*

1 *including the host names and event types are changed), allocating a fourth display label*  
2 *including SNMP request, authentication failure, link up, link down, port up, port down,*  
3 *link down of host A, node down of host B etc. to the events indicating the attribute values*  
4 *of the new primary attribute (e.g., category attribute, event type of data objects). Ma*  
5 *discloses plotting all the events arrived within the time period as retrieved from the*  
6 *database and including an attribute value allocated to the new primary attribute into the*  
7 *cross plot with the fourth display label, including SNMP request, authentication failure,*  
8 *link up, link down, port up, port down, link down of host A, node down of host B etc.,*  
9 *indicating the new primary attribute, such as the host name and event type, the position*  
10 *of the fourth display label of each event in the cross plot being determined by the*  
11 *mapping mechanism in Page 12 on the basis of the attribute value of the primary*  
12 *attribute of the event and its arrival time as determined by the retrieval condition from*  
13 *the database.*

14 In response, the applicant respectfully take particular exception with the alleged equivalency of  
15 elements in claims 4 and 5 and the cited art, and take exception with the Examiner assertions.  
16 This is in regard to use of words in the claims attributes, primary, events, display label etc. The  
17 present invention in 4 and 5 is not anticipated or made obvious by S. Ma, et al. As noted,  
18 applicants respectfully state that the indicating of new patterns in Ma, is not the steps of claim 4.  
19 Ma and Kranzlmuller do not test as in claim 4, “if the newly arrived event does not include an  
20 attribute value of the given pattern.” Nor do Ma and Kranzlmuller determine, “on the basis of the  
21 recorded attribute values of all previous arrived events by means of the pattern algorithm whether  
22 or not the newly arrived event is part of a new pattern on the basis of a comparison of the  
23 attributes allocated to the new pattern and of the attributes assigned to the arrived events.” Nor  
24 do Ma and Kranzlmuller test, “if the newly arrived event forms together with previous recorded  
25 events the new pattern,” Nor do Ma and Kranzlmuller allocate, “a third display label to the events  
26 indicating the attribute values of the attributes being uncovered as part of the new pattern.”  
27 Certainly, Ma and Kranzlmuller does apparently not perform the step of, “plotting the all events  
28 being detected by means of the pattern algorithm as part of the new pattern into the cross plot  
29 with the third display label indicating the new pattern, the position of the third display label of  
30 each event in the cross plot being determined by the mapping algorithm on the basis of the  
31 attribute value of the attribute of the event being uncovered as part of the new pattern and its  
32 arrival time.

1 Similarly, Ma with or without Kranzlmuller are not concerned with a 'primary attribute nor with  
2 the step of claim 5, of removing all the events including an attribute value allocated to the primary  
3 attribute from the cross plot, if a primary attribute to be presented with its attribute values on the  
4 y-axis of the cross plot is changed, allocating a fourth display label to the events indicating the  
5 attribute values of the new primary attribute," nor with the step of, "plotting all the events arrived  
6 within the time period and including an attribute value allocated to the new primary attribute into  
7 the cross plot with the fourth display label indicating the new primary attribute, the position of the  
8 fourth display label of each event in the cross plot being determined on the basis of the attribute  
9 value of the primary attribute of the event and its arrival time," nor with the step of, "if a primary  
10 attribute to be presented with its attribute values on the y-axis of the cross plot is changed,  
11 allocating a fourth display label to the events indicating the attribute values of the new primary  
12 attribute, and plotting all the events arrived within the time period and including an attribute value  
13 allocated to the new primary attribute into the cross plot with the fourth display label indicating  
14 the new primary attribute, the position of the fourth display label of each event in the cross plot  
15 being determined on the basis of the attribute value of the primary attribute of the event and its  
16 arrival time.

17 Also, for example, the office communication states "the application of data mining algorithms,  
18 which are then used to generated multiple different visualizations. A review of Ma and  
19 Kranzlmuller show that even the combination does not equal that generation of multiple  
20 visualizations for cross-referencing. Thus claims 4 and 5 are allowable over Ma and Kranzlmuller  
21 in themselves and because each depends on allowable claim 1.

22 *Re Claim 6: Ma further discloses the operator selects the events to be plotted and*  
23 *displaying textual and coloring information associated with the selected events on the*  
24 *event display (Page 4 and Figs. 6,7,9-10). Ma discloses plotting all attribute values,*  
25 *including the attributes such as event type, link down, and host name, host A, in the*  
26 *patterns marked as the link down of host A, node down of host B, recorded for an event,*  
27 *as retrieved from the database, with the respective display label into the cross plot if the*  
28 *event is selected by an operator and displaying textual information associated with the*  
29 *selected event on the event display.*

1 In response, the applicant respectfully take particular exception with the alleged equivalency of  
2 elements in claim 6 and the cited art, and take exception with the Examiner assertions.

3 In response, applicants respectfully state that exception is taken with the so called equivalencies  
4 of elements in Claim 6 and the cited art. This is in regard to use of words in the claims attributes,  
5 primary, events, display label etc. The present invention in claim 6 is not anticipated by S. Ma, et  
6 al. As noted, applicants respectfully state that Ma is not concerned with the test and step of claim  
7 6 of, "plotting all attribute values recorded for an event with the respective display label into the  
8 cross plot if the event is selected by an operator, and displaying textual information associated  
9 with the selected event on the event display.

10 Also, a review of Ma and Kranzlmuller show that the user has to guide the visualization manually.  
11 Thus claim 6 is allowable over Ma and Kranzlmuller for itself and because it depends on allowable  
12 claim 1.

13 *Re Claim 7: Ma further discloses a pattern algorithm such as the data mining algorithm*  
14 *suitable to perform multi-attribute pattern recognition (Figs. 6, 7, 9-10). Ma discloses*  
15 *the mining algorithm being suitable to perform multi-attribute pattern recognition using*  
16 *the mapping mechanism (Page 12) and the pattern comparisons/matching (Page 13).*

17 In response, the applicant respectfully take particular exception with the alleged equivalency of  
18 elements in claim 7 and the cited art, and take exception with the Examiner assertions. The  
19 present invention in claim 7 is not anticipated by S. Ma. There is apparently no indication that Ma  
20 is concerned with multi-attribute pattern recognition or even any pattern recognition as in claim 7.  
21 Being allegedly suitable is indeed not an anticipation of the invention in claim 7. Thus claim 7 is  
22 allowable over Ma and Kranzlmuller for itself and because it depends on allowable claim 1.

23 *Re Claim 8: Ma further discloses using color such as Red and Green to color the pattern*  
24 *Spikes and Pattern 1, Pattern 2, Pattern 3, Pattern 4 for specific mark layouts (Figs.*  
25 *6,7,9-10). Ma discloses each display label includes different colors marking the events.*

26 In response, the applicant respectfully take particular exception with the alleged equivalency of  
27 elements in claim 8 and the cited art, and take exception with the Examiner assertions. A review  
28 of Ma and Kranzlmuller show that even the combination does not have the elements as in claim 8.

1 Thus, claim 8 is allowable over Ma and Kranzlmuller for itself and because it depends on  
2 allowable claim 1.

3 *Re Claim 9: Ma further discloses all events being uncovered as part of the pattern being*  
4 *clustered by the display label such as Red Spikes, Green Spikes (Figs. 6, 7 and 9-10). Ma*  
5 *discloses all events being discovered as part of the pattern as clustered by the different*  
6 *labels including Red Spikes and Green Spikes to indicate one of the plurality of events*  
7 *such as SNMP request, authentication failure, link up, link down, port up, port down, link*  
8 *dawn of host A, node down of host B etc indicating the new primary attribute.*

9 In response, the applicant respectfully take particular exception with the alleged equivalency of  
10 elements in claim 9 and the cited art, and take exception with the Examiner assertions. There is  
11 apparently no indication that Ma is at all concerned with clusters or clustering as in claim 9. Thus  
12 claim 9 is allowable over Ma and Kranzlmuller for itself and because it depends on allowable  
13 claim 1.

14 *Re Claim 10: Ma further discloses a data mining algorithm and GUI (Page 14). Ma*  
15 *discloses the mining algorithm carrying the steps as recited in the claim 1.*

16 In response, the applicant respectfully take particular exception with the alleged equivalency of  
17 elements in claim 10 and the cited art, and take exception with the Examiner assertions. Claim 10  
18 is amended herein. The response to claim 1 is appropriate to claim 10 which depends thereupon.  
19 The program code is that of claim 1, which is not anticipated by Ma. Claim 10 is amended. Thus  
20 claim 10 is allowable over Ma and Kranzlmuller for itself and because it depends on allowable  
21 claim 1.

22 *Re Claim 11: Ma further discloses the program code being stored on data carrier (see*  
23 *page 5). Data carrier is inherent within the computer embodiment of Page 5.*

24 In response, the applicant respectfully take particular exception with the alleged equivalency of  
25 elements in claim 11 and the cited art, and take exception with the Examiner assertions. Exception  
26 is taken with the stated inherentcy. Claim 11 is amended herewith. There is apparently no  
27 indication that Ma or Kranzlmuller discloses or is concerned with a data carrier as in claim 11.  
28 Thus claim 11 is allowable over Ma and Kranzlmuller for itself and because it depends on  
29 allowable claim 1.

1        *Re Claim 12: Ma further discloses an event visualization device for monitoring events in*  
2        *a computer network (Page 3). The cited reference teach mapping a plurality of data*  
3        *attributes to item to identify correlations across different hosts and event types by using*  
4        *the mapping that maps the pair of event type and host name to item and leaves key empty.*  
5        *See Page 11, Moreover, the cited reference in Page 1, second paragraph, explicitly*  
6        *teaches the attribute values, see the last paragraph of Page 6 and the first and second*  
7        *paragraphs of Page 8, the last paragraph of Page 12, and the real data set collected*  
8        *from a production computer network containing thousands of managed nodes including*  
9        *routers; hubs and servers are described in the last paragraph of page 3 and identifying*  
10       *unknown event patterns that can be used for real-time monitoring is described in the*  
11       *second paragraph of page 3.*

12       In response, the applicant respectfully take particular exception with the alleged equivalency of  
13       elements in claim 12 and the cited art, and take exception with the Examiner assertions. The  
14       present invention in claim 12 is not anticipated by S. Ma. The response to claim 1 is appropriate  
15       to claim 12, which depends thereupon. The device is for performing the steps of claim 1, which is  
16       not anticipated by Ma. Thus claim 12 is allowable over Ma and Kranzlmuller for itself and  
17       because it depends on allowable claim 1.

18       *Re Claims 13 and 15: Ma further discloses an implementation of the Event Miner*  
19       *algorithm on the computer (Page 4-5).*

20       In response, the applicant respectfully take particular exception with the alleged equivalency of  
21       elements in claims 13 and 15 and the cited art, and take exception with the Examiner assertions.  
22       In response, applicants respectfully state that exception is taken with the so called equivalencies  
23       of elements in Claims 13-16 and the cited art. The present invention in claim 13-15 are not  
24       anticipated by S. Ma. The response to claim 1 is appropriate to claim 13 and 15, which depends  
25       thereupon. Claim 14 is amended to be an independent claim of the Beauregard type, with all the  
26       elements of claim 1. The implementations are for performing the steps of claim 1, which is not  
27       anticipated by Ma. Thus claims 13-15 are allowable over Ma and Kranzlmuller for itself and  
28       because it depends on, or has the matter, of allowable claim 1.

29       *Claim 14: The claim 14 is subject to the same rationale of rejection set forth in the claim*  
30       *1.*

1 In response, the applicant respectfully take particular exception with the alleged equivalency of  
2 elements in claim 14 and the cited art, and take exception with the Examiner assertions. Claim 14  
3 is amended as in claim 1. Claim 14 now reads:

4 14. (Currently amended) A program storage device being a computer readable medium,  
5 tangibly embodying a program of instructions executable by a computer to perform  
6 method steps for monitoring network activities as a time-ordered sequence of events in a  
7 computer network, each event having attributes triggered by an intrusion-detection  
8 system, each event being characterized by a given set of attributes called dimensions, each  
9 event forming an n-dimensional space, said step of monitoring comprising the steps of:

10 said computer network triggering said events, each event being provided with attribute  
11 values allocated to a given set of attributes of said each event, each attribute having a  
12 particular attribute value,

13 simultaneously monitoring each particular attribute value of various event attributes from  
14 said given set of attributes versus the arrival time of said each event,

15 providing an event display with a cross plot having x and y coordinate axes, the x-axis  
16 presenting a time period and the y-axis presenting an attribute value range, and visualizing  
17 data along said x and y coordinate axes, said axes being attribute axes,

18 determining a primary attribute of said each event selected from the given set of attributes,  
19 each said primary attribute of said each event to be presented with ~~its~~ a corresponding  
20 ~~attribute values~~ value on the y-axis of the cross plot,

21  
22 allocating a first display label to the events indicating the attribute ~~values~~ value of the  
23 primary attribute of each event providing a pattern algorithm to detect whether an arrived  
24 event is part of the given pattern on the basis of a comparison of the attributes allocated to  
25 the given pattern and of the attributes assigned to the arrived event, providing a mapping

1 algorithm to map any attribute value of an attribute selected from the given set of  
2 attributes onto the y-axis of the cross plot,

3 allocating a second display label to said each event indicating the attribute values of the  
4 attributes being uncovered as part of the given pattern,

5 plotting all events that arrived within the time period and including an attribute value  
6 allocated to the primary attribute into the cross plot with the first display label indicating  
7 the primary attribute, the position of the first display label of said each event in the cross  
8 plot being determined on the basis of the attribute value of the primary attribute of the  
9 event and its arrival time,

10 plotting all events that arrived within the time period and being detected by means of the  
11 pattern algorithm as part of the given pattern into the cross plot with the second display  
12 label indicating the given pattern, the position of the second display label of said each  
13 event in the cross plot being determined by the mapping algorithm on the basis of the  
14 attribute value of the attribute of the event being uncovered as part of the given pattern  
15 and its arrival time, and

16 viewing a secondary attribute of said each event together with the primary attribute on  
17 said display.

18 Thus, the entire response to claim 1 is appropriate to amended claim 14. Thus claim 14 is  
19 allowable over the combined art of Kranzlmuller and Ma.

20 *Claim 16: The claim 16 is subject to the same rationale of rejection set forth in the*  
21 *claims 2-4,*

22 In response, the applicant respectfully take particular exception with the alleged equivalency of  
23 elements in claim 16 and the cited art, and take exception with the Examiner assertions. There is  
24 apparently no indication that Ma and Kranzlmuller perform the added steps of claim 16. The



1 present invention in claim 16 is not anticipated by S. Ma. The response to claim 1 is appropriate  
2 to claim 16, which depends thereupon. The method is for performing more steps over the steps  
3 of claim 1, which is not anticipated by Ma. Thus claim 16 is allowable over Ma and Kranzlmuller  
4 for itself and because it depends on allowable claim 1.

5 *Claim 17: The claim 17 is subject to the same rationale of rejection set forth in the claim*  
6 *5.*

7 In response, applicants respectfully state that as with claim 5 exception is taken with the so called  
8 equivalencies of elements in Claim 17 and the cited art. This is in regard to use of words in the  
9 claims attributes, primary, events, display label etc. There is apparently no indication that Ma and  
10 Kranzlmuller perform the added steps of claim 17. The present invention in claim 17 is not  
11 anticipated by S. Ma. The response to claim 1 is appropriate to claim 17, which depends  
12 thereupon. The method is for performing more steps over the steps of claim 16, which is not  
13 anticipated by Ma. Thus claim 17 is allowable over Ma and Kranzlmuller for itself and because it  
14 depends on allowable claim 1.

15 *Claim 18: The claim 18 is subject to the same rationale of rejection set forth in the*  
16 *claims 2-4.*

17 In response, applicants respectfully state that as with claims 2-4, exception is taken with the so  
18 called equivalencies of elements in Claim 18 and the cited art. This is in regard to use of words in  
19 the claims attributes, primary, events, display label etc. There is apparently no indication that Ma  
20 and Kranzlmuller has the added elements of claim 18. The present invention in claim 18 is not  
21 anticipated by S. Ma. The response to claim 1 is appropriate to claim 18, which depends  
22 thereupon. The device is for more elements than claim 5, which is not anticipated by Ma. Thus  
23 claim 18 is allowable over Ma and Kranzlmuller for itself and because it depends on allowable  
24 claim 1.

25 *Claim 19: The claim 19 is subject to the same rationale of rejection set forth in the claim*  
26 *5.*

1 In response, applicants respectfully state that as with claim 5 exception is taken with the so called  
2 equivalencies of elements in Claim 19 and the cited art. This is in regard to use of words in the  
3 claims attributes, primary, events, display label etc. There is apparently no indication that Ma and  
4 Kranzlmuller perform the added steps of claim 19 has the added elements of claim 189. The  
5 response to claim 1 is appropriate to claim 17, which depends thereupon. The device is for more  
6 elements than claim 5, which is not anticipated by Ma. Thus claim 17 is allowable over Ma and  
7 Kranzlmuller for itself and because it depends on allowable claim 1.

8 *Claim 20: The claim 20 is subject to the same rationale of rejection set forth in the claim*  
9 *1.*

10 In response, the applicant respectfully take particular exception with the alleged equivalency of  
11 elements in claim 20 and the cited art, and take exception with the Examiner assertions. Claim 20  
12 is amended herein, and now reads:

13 20. An article of manufacture comprising apparatus for monitoring events in a computer  
14 network, the apparatus comprising:

15 said computer network having means for intrusion-detection triggering said events, each  
16 event having attributes triggered by the means for intrusion-detection, each event being  
17 characterized by a given set of attributes called dimensions, each event forming an  
18 n-dimensional space, each event being provided with attribute values allocated to a given  
19 set of attributes of said each event,

20 means for simultaneously monitoring various event attributes from said given set of  
21 attributes versus the arrival time of said each event,

22 means for providing an event display with a cross plot having x and y coordinate axes, the  
23 x-axis presenting a time period and the y-axis presenting an attribute value range, and  
24 visualizing data along said x and y coordinate axes, said axes being attribute axes,

means for determining a primary attribute of said each event, said primary attribute being  
selected from the given set of attributes, each said primary attribute of said each event to  
be presented with a corresponding attribute value on the y-axis of the cross plot,

means for allocating a first display label to the events indicating the attribute value of the  
primary attribute of each event, providing a pattern algorithm to detect whether an arrived  
event is part of the given pattern on the basis of a comparison of the attributes allocated to  
the given pattern and of the attributes assigned to the arrived event, providing a mapping  
algorithm to map any attribute value of an attribute selected from the given set of  
attributes onto the y-axis of the cross plot,

means for allocating a second display label to said each event indicating the attribute  
values of the attributes being uncovered as part of the given pattern,

means for plotting all events that arrived within the time period and including an attribute  
value allocated to the primary attribute into the cross plot with the first display label  
indicating the primary attribute, the position of the first display label of said each event in  
the cross plot being determined on the basis of the attribute value of the primary attribute  
of the event and its arrival time,

means for plotting all events that arrived within the time period and being detected by  
means of the pattern algorithm as part of the given pattern into the cross plot with the  
second display label indicating the given pattern, the position of the second display label of  
said each event in the cross plot being determined by the mapping algorithm on the basis  
of the attribute value of the attribute of the event being uncovered as part of the given  
pattern and its arrival time, and

means for viewing a secondary attribute of said each event together with the primary  
attribute on said display.

As described with regard to claim 1, claim 20 shows that it is in regard to intrusion-detection. Also, in claim 20, the attributes are event attributes, and to show explicitly that it includes “means for simultaneously monitoring various event attributes versus the arrival time of each the events,” and to specifically include “means for viewing a secondary attribute of said each event together with the primary attribute on said display.” This apparently more clearly distinguishes claim 1 and 20, from the cited reference. Thus claim 20 is allowable over Ma and Kranzlmuller.

It is anticipated that this amendment brings the application to allowance of claims 1-20. Favorable action is respectfully solicited. In the unlikely event that any claim remains rejected, please contact the undersigned as required by the MPEP, by phone in order to discuss the application.

Please charge any fee necessary to enter this paper to deposit account 50-0510.

Respectfully submitted,

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